

Santa Barbara City College

Institutional Effectiveness Partnership Initiative

Goals Framework Year Two: 2015-16

May 25, 2016 SBCC Institutional Research

This document is intended to meet the requirement of the California Community Colleges Chancellor's Office [Institutional Effectiveness Year Two Framework of Indicators](#), under which the College must certify that it has "developed, adopted, and publicly posted the Institutional Effectiveness goals framework pursuant to the requirements of California Education Code section 84754.6."

This framework is part of the Institutional Effectiveness Partnership Initiative (IEPI) which began in 2014-15. This is Year Two of the initiative. More information can be found on the [Chancellor's Office Institutional Effectiveness Division website](#).

Goal Development and Adoption Process

The Chancellor's Office provided each college in the system with a set of 22 indicators covering 4 areas of institutional health:

1. Student performance and outcomes
2. Accreditation status
3. Fiscal health
4. Programmatic compliance with state and federal guidelines

Of the 22 possible indicators, 8 are required (4 short-term and 4 long-term). From the remaining optional indicators, colleges were required to choose a unique goal to support the effectiveness of their institution.

SBCC's Institutional Effectiveness Committee (IEC) was the starting point for the discussions regarding the choice of goals, methodology for setting targets, and analysis of the relevant data. Following consultation with applicable constituent groups, including the Business Services unit and the Academic Senate, the IEC brought the vetted goals forward as a recommendation to the College Planning Council (CPC). The goals were subsequently approved by CPC on May 3, 2016, and by the Board of Trustees on May 12, 2016.

Relationship to Educational Master Plan and Evaluation of Progress

The IEPI goals are a subset of the institutional effectiveness metrics formally defined in the College's [Educational Master Plan](#). Progress on these and other goals will be reported in the Educational Master Plan Annual Progress Report which is produced each fall term.

The IEPI goals are *aspirational* in the sense that the College has set them as targets, based on an analysis of historic data and trends. Separate from the IEPI goals, the College has also declared a set of [Institutional-Set Standards](#) which are lower limits on certain metrics as opposed to aspirational targets. If one of these metrics trends towards or falls below its declared lower limit, the College acts to identify and implement strategies to address the issue.

Certification and Public Posting of IEPI Goals

The IEPI goals that have been certified by SBCC and publicly posted can be viewed at the [IEPI Portal](#). (Note: at the time of this writing only the prior year's certified goals are available. This year's goals will be certified by June 15, 2016, and will be publicly viewable shortly thereafter).

The IEPI Goals

NOTES:

- In the following tables, n/a indicates that there was no requirement to declare an IEPI goal in that year, and
- TBD indicates that it is too early to determine an actual value for the given measure
- The Long-Term Goal (6 Year) target year of 2020-2021 is six years beyond the base year of 2014-15, the year in which the IEPI framework was first established.

IEPI Required Indicator 1: Fiscal Viability: Fund Balances

Definition: This goal is defined as the ending unrestricted general fund balance as a percentage of total expenditures.

Methodology: The outcome of discussion and analysis of relevant trends was that a conservative goal was in the best interest of the College.

FISCAL YEAR								Short-Term Goal (1 year)	Long-Term Goal (6 Years)
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2020-2021
GOAL	n/a						20.0	20.0	20.0
ACTUAL	27.5	24.8	28.4	30.7	32.5	33.4	TBD	TBD	TBD

IPEI Required Indicators 5, 6, and 7: Audit Findings

Definition: A value of “Unmodified” indicates a final annual auditor’s report without internal control issues.

Methodology: The College seeks maintain its record of Unmodified audit reports.

FISCAL YEAR			Short-Term Goal (1 year)	Long-Term Goal (6 Years)	
		2014-2015	2015-2016	2016-2017	2020-2021
Indicator 5: Financial Statement	GOAL	n/a	Unmodified	Unmodified	Unmodified
	ACTUAL	Unmodified	TBD	TBD	TBD
Indicator 6: State Compliance	GOAL	n/a	Unmodified	Unmodified	Unmodified
	ACTUAL	Unmodified	TBD	TBD	TBD
Indicator 7: Federal Award Compliance	GOAL	n/a	Unmodified	Unmodified	Unmodified
	ACTUAL	Unmodified	TBD	TBD	TBD

IPEI Indicator 11: Math Remedial Rate (“College Choice” goal)

Definition: This goal is defined as the percentage of credit students tracked for six years who started below transfer level in Math and completed a college-level transfer course. The data is drawn from the [Chancellor’s Office Scorecard](#).

Methodology: This is a “College Choice goal” focused on unprepared students or basic skills students from Indicators 9, 11, 12, or 13. The narrative posted with this choice states “We chose to focus on improving this rate because we note that the Scorecard Math Remedial Rate for the six-year cohort entering in 2008-09 was 43.9% but that this rate fell to 39.6% for the six-year cohort entering in 2009-10.” The Math department took the lead in the analysis and setting of this goal.

SIX-YEAR COHORT ENDING YEAR								Short-Term Goal (1 year)	Long-Term Goal (6 Years)
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2020-2021
GOAL	n/a							41.0	44.0
ACTUAL	39.5	42.7	39.1	43.6	43.8	39.6	TBD	TBD	TBD

IEPI Indicator 15: Successful Course Completion Rate

Definition: This goal is defined as the percentage of Fall term credit course enrollments where the student earned a grade of C or better. The data is drawn from the [Chancellor's Office Datamart](#).

Methodology: Discussion and analysis of trends.

ACADEMIC YEAR								Short-Term Goal (1 year)	Long-Term Goal (6 Years)	
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2020-2021	
GOAL	n/a							75.8	73.8	75.0
ACTUAL	74.1	74.3	74.7	74.8	73.8	72.5	TBD	TBD	TBD	

IEPI Indicator 16: Completion of Degrees

Definition: This goal is defined as the number of associate degrees completed. Note that some students earn more than one degree, and each degree is counted. The data is drawn from the [Chancellor's Office Datamart](#).

Methodology: The 2016-2017 short-term target was determined as 5% above the 2014-15 value (the most recent posted value available). The 2020-2021 long-term target was estimated to be approximately a 1% increase per year over the 2014-15 value.

ACADEMIC YEAR								Short-Term Goal (1 year)	Long-Term Goal (6 Years)
	2009-2010	2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	2015-2016	2016-2017	2020-2021
GOAL	n/a							1,953	2,000
ACTUAL	1,209	1,632	1,749	1,700	1,763	1,860	TBD	TBD	TBD

IEPI Indicator 19: Accreditation Status

Definition: See table of Accreditation Status Descriptions below.

Methodology: The College seeks to maintain its “Fully Accredited - No Action” status

ACCJC REPORTING DATE												Short-Term Goal (1 year)	Long-Term Goal (6 Years)
	July 2009	July 2010	Feb 2011	July 2011	Feb 2012	July 2012	Feb 2013	July 2013	Feb 2014	July 2014	Feb 2015	2016-2017	2020-2021
GOAL	n/a											FA-N	FA-N
ACTUAL	FA-N	FA-RA	FA-N	FA-N	FA-N	FA-W	FA-W	FA-SR	FA-N	FA-N	FA-N		

Accreditation Status Descriptions

FA-N: Fully Accredited - No Action

FA-RA: Fully Accredited - Reaffirmed

FA-SR: Fully Accredited - Sanction Removed

FA-SR/RA: Fully Accredited - Sanction Removed and Reaffirmed

FA-W: Fully Accredited - Warning

FA-P: Fully Accredited - Probation

FA-SC: Fully Accredited - Show Cause

FA-PT: Fully Accredited - Pending Termination

T: Accreditation Terminated (No longer used by the accrediting agency after July 2015)

WD: Accreditation Withdrawn

FA-RS: Fully Accredited - Restoration

IA: Initial Accreditation

RE-AP: Re-Application for Accreditation

(Next Accreditation Visit: Fall 2021)

California Community Colleges Chancellor's Office
INSTITUTIONAL EFFECTIVENESS

Indicator Rates - Santa Barbara CCD

[View Certification Report](#)

District Fiscal Viability Indicators

1. Fund Balance (Required)

Ending unrestricted general fund balance as a percentage of total expenditures

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
24.8	28.4	30.7	32.5	33.4	20.0 %	20.0 %

2. Salary and Benefits (Optional)

Salaries and benefits as a percentage of unrestricted general fund expenditures, excluding other outgoing expenditures

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
89.0	88.2	88.5	87.3	87.5		

3. Annual Operating Excess/(Deficiency) (Optional)

Net increase or decrease in general fund balance

Historical Values					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
(421,536)	3,229,909	1,009,253	2,687,673	2,069,266	\$	\$

4. Cash Balance (Optional)

Unrestricted and restricted general fund cash balance, excluding investments

Historical Values					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
14,772,994	18,140,280	29,610,515	33,396,351	0	\$	\$

District Programmatic Compliance with State and Federal Guidelines Indicators

5. Audit Findings - Audit Opinion Financial Statement (Required)

A 'yes' indicates the district has achieved (historical) or has set a goal to achieve an 'unmodified' or 'unqualified' independent audit opinion (minimal or no material weaknesses or significant deficiencies)

Historical Value	Goals	
2014-2015 (goal for 2016-2017)	Short-term (1 Year) Goal	Long-term (6 Years) Goal
Yes	Yes	Yes

6. Audit Findings - State Compliance (Required)

A 'yes' indicates the district has achieved (historical) or has set a goal to achieve an 'unmodified' or 'unqualified' independent audit opinion (minimal or no material weaknesses or significant deficiencies)

Historical Value	Goals	
2014-2015 (goal for 2016-2017)	Short-term (1 Year) Goal	Long-term (6 Years) Goal
Yes	Yes	Yes

7. Audit Findings - Federal Award/Compliance (Required)

A 'yes' indicates the district has achieved (historical) or has set a goal to achieve an 'unmodified' or 'unqualified' independent audit opinion (minimal or no material weaknesses or significant deficiencies)

Historical Value	Goals	
	Short-term (1 Year) Goal	Long-term (6 Years) Goal
2014-2015 Yes	(goal for 2016-2017) Yes	(6 Years) Goal Yes

College Indicators for

College Student Performance and Outcomes

8. Completion Rate (Scorecard) - College Prepared (Optional)

Percentage of degree, certificate, and/or transfer seeking College Prepared (Student's lowest course attempted in Math and/or English was college level) students starting first time tracked for six years who completed a degree, certificate, or transfer related outcome (Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
79.2	76.3	77.5	78.4	78.8	<input type="text"/>	<input type="text"/>

9. Completion Rate (Scorecard) - Unprepared for College (Optional)

Percentage of first time degree, certificate, and/or transfer seeking students tracked for six years who attempted any level of Math and/or English in the first three years, who completed a degree, certificate, or transfer related outcome (Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
49.8	46.9	45.7	43.6	41.6	<input type="text"/>	<input type="text"/>

10. Completion Rate (Scorecard) - Overall (Optional)

Percentage of degree, certificate, and/or transfer seeking students starting first time in 2009-2010 tracked for six years through 2014-2015 who attempted any level of Math and/or English in the first three years, who completed a degree, certificate, or transfer related outcome (Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
66.0	63.0	62.4	61.9	62.1	<input type="text"/>	<input type="text"/>

11. Remedial Rate (Scorecard) - Math (Optional)

Percentage of credit students tracked for six years who started below transfer level in Math and completed a college-level transfer course in Math (Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
42.7	39.1	43.6	43.8	39.6	41.0%	44.0%

12. Remedial Rate (Scorecard) - English (Optional)

Percentage of credit students tracked for six years who started below transfer level in English and completed a college-level transfer course in English (Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
55.0	50.7	54.2	51.2	51.4	<input type="text"/>	<input type="text"/>

13. Remedial Rate (Scorecard) - ESL (Optional)

Percentage of credit students tracked for six years who started below transfer level in ESL and completed a college-level transfer course in ESL
(Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
18.4	17.0	17.1	16.8	14.2	<input type="text" value=""/>	<input type="text" value=""/>

14. Career Technical Education Rate (Scorecard) (Optional)

Percentage of students tracked for six years who started first time and completed more than eight units in courses classified as career technical education in a single discipline and completed a degree, certificate, or transferred
(Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
52.1	53.6	50.3	52.7	49.5	<input type="text" value=""/>	<input type="text" value=""/>

15. Successful Course Completion (Datamart) (Required)

Percentage of Fall term credit course enrollments where student earned a grade of C or better
(Goal should be set as rate)

Historical Rates					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
74.3	74.7	74.8	73.8	72.5	<input type="text" value="73.8"/>	<input type="text" value="75.0"/>

16. Completion of Degrees (Datamart) (Optional)

Number of associate degrees completed (Goal should be set as count)

Historical Counts					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
1,632	1,749	1,700	1,763	1,860	<input type="text" value="1953"/>	<input type="text" value="2000"/>

17. Completion of Certificates (Datamart) (Optional)

Number of Chancellor's Office approved certificates completed (Goal should be set as count)

Historical Counts					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
1,034	1,021	1,119	1,285	1,222	<input type="text" value=""/>	<input type="text" value=""/>

18. Transfers to 4-year Institutions (Datamart) (For Information Only)

Number of students who transfer to a four-year institution, including CSU, UC, private and out of state universities (No goal required)

Historical Counts				
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015
1,541	1,482	1,365	1,427	1,514

College Accreditation Status Indicators

19. Accreditation Status (Required)

ACCJC accreditation status

Historical Status										Goals	
July 2010	Feb 2011	July 2011	Feb 2012	July 2012	Feb 2013	July 2013	Feb 2014	July 2014	Feb 2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
FA-RA	FA-N	FA-N	FA-N	FA-W	FA-W	FA-SR	FA-N	FA-N	FA-N	<input type="text" value="FA-N"/>	<input type="text" value="FA-N"/>

Accreditation Status Descriptions

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FA-SR: Fully Accredited - Sanction Removed

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 T: Accreditation Terminated (No longer used by the accrediting agency after July 2015)
 WD: Accreditation Withdrawn
 FA-RS: Fully Accredited - Restoration
 IA: Initial Accreditation
 RE-AP: Re-Application for Accreditation
 Next Accreditation Visit
 Term

College Fiscal Viability Indicators

20. Full-Time Equivalent Students (Optional)

Annual number of full-time equivalent students

Historical Counts					Goals	
2010-2011	2011-2012	2012-2013	2013-2014	2014-2015	Short-term (1 Year) Goal (goal for 2016-2017)	Long-term (6 Years) Goal
18,127.4	17,169.6	16,837.2	16,055.4	17,164.0	<input type="text"/>	<input type="text"/>

College Choice Indicators

21. College Choice Student Achievement (Required)

College must set a goal focused on unprepared students or basic skills students from indicators 9, 11, 12, or 13 above. In the narrative box below, note which indicator has been chosen.

Indicator 11: Remedial Rate (Scorecard) Math Goal: We chose to focus on improving this rate because we note that the Scorecard Math Remedial Rate for the six-year cohort entering in 2008-09 was 43.9% but that this rate fell to 39.6% for the six-year cohort entering in 2009-10.

22. Optional College Choice (Optional)

College may self-identify an indicator related to any topic. Briefly explain the indicator and provide short-term and long-term goals. Goals must be presented as counts, percentages, or rates.

Has your college developed, adopted, and publicly posted the goals framework pursuant to the requirements of Education Code section 84754.6?

INSTITUTIONAL EFFECTIVENESS GOALS CERTIFICATION FORM

Report created:6/1/2016 9:34:27 AM

District Santa Barbara

Report Year 2016

Pursuant to Education Code section 84754.6, I hereby certify that:

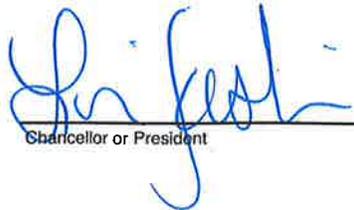
Santa Barbara City College

adopted the framework of indicators that was approved by the Board of Governors on November 15, 2015, and adopted and posted institutional goals for each of the following areas:

1. Student Performance and Outcomes: Course Completion Rate
2. Accreditation Status: Accreditation Status
3. Fiscal Viability: Fund Balance
4. Programmatic Compliance with State and Federal Guidelines: Audit Opinion Financial Statement
5. Programmatic Compliance with State and Federal Guidelines: State Compliance
6. Programmatic Compliance with State and Federal Guidelines: Federal Award/Compliance
7. College Choice Student Achievement
8. Optional College Choice

I also certify that the adopted goals for the college(s) referenced above are consistent with those posted to the Chancellor's Office Institutional Effectiveness Monitoring Portal.

College/District Approvals:

 _____
Chancellor or President Date

Please print, complete, and mail this signature page to:
California Community Colleges Chancellor's Office
Attention: Ronnie Slimp
1102 Q Street
Sacramento, CA 95811

In lieu of mailing, a scanned copy can be emailed to:
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